

10/523583

08/03/2005 17:02 2023633490

THE HT THAN LAW GRP

PAGE 01/09

Rec'd PCT/PTO 03 AUG 2005

THE H.T. THAN LAW GROUP

H.T. THAN
TERESA MRDLER
JENNIFER R. MAHALINGAPPA

INTELLECTUAL PROPERTY
PROSECUTION
COUNSELING
LITIGATION

DATE: August 3, 2005

NUMBER OF PAGES: Nine (9)
(Including transmittal)

TO: Terry M. Johnson Vessels

RECEIVED

OF: U.S. Patent and Trademark Office, PCT Receiving Branch

TELEFACSIMILE NO.: (703) 746-6630

5 AUG 2005

FROM: Jennifer R. Mahalingappa, Esquire

Legal Staff
International Division

Re: New Application Serial No. 10/523,583
Entitled: Identification of a User of a Mobile Terminal and Generation
of an Action Authorisation
Our Ref.: MTL-006

If you experience any difficulty receiving this transmission,
please contact us at 202-363-2620.

The information contained in this facsimile message is information protected by attorney-client and/or the attorney/work product privilege. It is intended only for the use of the individual named above and the privileges are not waived by virtue of this having been sent by facsimile. If the person actually receiving this facsimile or any other reader of the facsimile is not the named recipient or the employee or agent responsible to deliver it to the named recipient, any use, dissemination, distribution or copying of the communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via U.S. Postal Service.

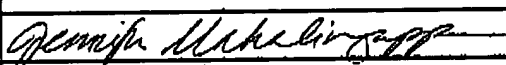
PTO/SB/21 (09-04)

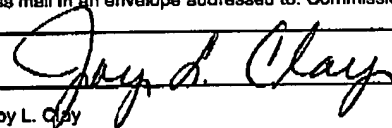
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/523,583	
	Filing Date	January 28, 2005	
	First Named Inventor	Pierre CHAPPUIS	
	Art Unit	3629	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	MTL-006

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Petition To Be Accorded Date of Express Mail Deposit		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	The H.T. THAN LAW GROUP		
Signature			
Printed name	Jennifer Mahalingappa		
Date	August 3, 2005	Reg. No.	47,765

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Joy L. Clay	Date	August 3, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pierre Chappuis

Application No.: 10/523,583

Group Art Unit: 3629

Filed: January 28, 2005

Examiner: Not Yet Known

For: Identification of a User of a Mobile Terminal and Generation of an Action Authorisation

Attorney Docket No.: MTL-006

**PETITION TO BE ACCORDED DATE OF EXPRESS MAIL DEPOSIT
PURSUANT TO 37 C.F.R. 1.10(d)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.10(d), the applicant herewith petitions the Commissioner for Patents to accord the initial filing correspondence for the above-identified application a filing date of January 28, 2005, the date the correspondence was deposited with the United States Postal Service (USPS) by Express Mail. The applicant recently became aware that the correspondence was erroneously accorded a filing date of February 1, 2005.

In support of this petition, the applicant attaches hereto (1) a copy the official USPS Express Mail Customer Online Record (label) showing appropriate payment, (2) a printed copy of the official USPS "Track and Confirm" screen showing that the Express Mail package was deposited with the USPS Express Mail service at 6:00 p.m. on January 27, 2005, (3) a copy of the itemized Return Receipt postcard clearly displaying the Express Mail number, and (4) a copy of the Transmittal Letter to the United States Designated/Elected Office Concerning a Submission under 35 U.S.C. §371 also clearly displaying the Express Mail number in the signature block.

As the Express Mail label was purchased online via the USPS website, the USPS online Express Mail "Track and Confirm" service is equivalent to the carbon copy of the

Application Ser. No.: 10/523,583
Attorney Docket No.: MTL-006

traditional Express Mail label for the purposes of showing date of deposit. As such, the applicant asserts that these documents establish a date of deposit of January 28, 2005, as 6:00 p.m. is subsequent to the last scheduled Express Mail pickup at the post office used by the applicant to deposit the Express Mail correspondence. The applicant therefore respectfully requests that this petition be granted.

It is not believed that any fee is due in connection with this petition. Should any fee be required, the Commissioner is authorized to charge any required fees, or credit any overpayments, to Deposit Account No. 50-1980.

Respectfully submitted,

Date: August 3, 2005


Jennifer R. Mahalingappa (Reg. No. 47,765)

Attorney for Applicant
1010 Wisconsin Avenue, NW, Suite 560
Washington, DC 20007
(202) 363-2620

Date Mailed: January 2 105
Serial No.: Not yet Assigned
Filed: January 28, 2005

Applicant: Pierre CHAPPUIS
Title: Identification of a User of a Mobile Terminal and Generation of an Action Authorisation

- ☒ Preliminary Amendment
☒ Application
No. of Pages: 60
No. of Claims: 20
☐ Appeal, Notice of
☐ Executed Assignment
☒ Unexecuted Declaration/Power of Attorney
☐ Design Application
☒ Information Disclosure Statement
☒ w/o refs. AA
☒ w/refs. AB-AD
☒ Drawings
9 Sheets 9 Figures

- ☐ Fee Address Indication Form
☐ Fee Transmittal
☐ Issue Fee Transmittal
☐ Letter
☐ Power of Attorney
☐ Request for Non-Publication
☐ Response to Notice to File Missing Parts
☐ Response to an Office Action
☒ Certified English Translation of the
International Application, Translation of the
PCT Art. 19 Claims
☒ Application Transmittal
☒ Other: Credit Card Payment Form

Attorney Ref.: MTL-006



10/523583

Date Mailed: January 28, 2005
Serial No.: Not yet Assigned
Filed: January 28, 2005

- ☒ Preliminary Amendment
☒ Application
No. of Pages: 60
No. of Claims: 20
☐ Appeal, Notice of
☐ Executed Assignment
☒ Unexecuted Declaration/Power of Attorney
☐ Design Application
☒ Information Disclosure Statement
☒ w/o refs. AA
☒ w/refs. AB-AD
☒ Drawings
9 Sheets 9 Figures

Attorney Ref.: MTL-006


VIA Express Mail EO 907 275 415 US

Applicant: Pierre CHAPPUIS
Title: Identification of a User of a Mobile Terminal and Generation of an Action Authorisation

- ☐ Fee Address Indication Form
☐ Fee Transmittal
☐ Issue Fee Transmittal
☐ Letter
☐ Power of Attorney
☐ Request for Non-Publication
☐ Response to Notice to File Missing Parts
☐ Response to an Office Action
☒ Certified English Translation of the
International Application, Translation of the
PCT Art. 19 Claims
☒ Application Transmittal
☒ Other: Credit Card Payment Form

DT01 Rec'd PCT/PTC 01 FEB 2005

Use Express Mail packaging or stickers. Securely affix label to mail piece. Do not tape over barcode or "Postal Use Only" section. This Online Label and Online Record must be presented to Postal personnel for acceptance processing to receive the service guarantee and be eligible for a service related refund. This completed and round-dated Online Record must be submitted with refund application.

EXPRESS MAIL		CUSTOMER Online Record		DO NOT MAIL	
ORIGIN (POSTAL USE ONLY)					
PO ZIP Code 20007	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>		 EO 907 275 415 US	
Date In Mo. Day Year	<input type="checkbox"/> 12 NOON <input type="checkbox"/> 3 PM	Postage \$17.85			
Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	Address to PO Box <input type="checkbox"/>	Return Receipt Fee			
Weight lbs 1 ozs 14	Contents Value	COD Fee	Ins. Fee		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$17.85		Master's Signature	
CUSTOMER INFORMATION					
FROM: PHONE 202-363-2620 H.T. THAN THE H.T. THAN LAW GROUP SUITE 580 1010 WISCONSIN AVENUE, NW WASHINGTON DC 20007			TO: PHONE COMMISSIONER OF PATENTS MAIL STOP PATENT APPLICATION PO BOX 1450 ALEXANDRIA VA 22313-1450		
FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com					

USPS Employee: For service failure refunds, follow existing refund procedures. Attach the Customer Online Record to the PS Form 3533 and send copies of both to the Express Mail Office for recording.

USPS - Track & Confirm

Page 1 of

[Home](#)

Track & Confirm

Shipment Details

You entered **EO90 7275 415U S**

Your item was delivered at 8:53 am on January 31, 2005 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by S DYAR.

Here is what happened earlier:

- ARRIVAL AT PICK-UP-POINT, January 29, 2005, 7:17 am, ALEXANDRIA, VA 22313
- ARRIVAL AT UNIT, January 29, 2005, 7:10 am, DULLES, VA 20102
- ENROUTE, January 29, 2005, 12:32 am, DULLES, VA 20102
- ACCEPTANCE, January 27, 2005, 6:00 pm, WASHINGTON, DC 20066

Notification Options

▶ Track & Confirm by email

[What is this?](#)

▶ Request Proof of Delivery

[What is this?](#)

POSTAL INSPECTORS
Preserving the Trust

[site map](#) [contact us](#) [government services](#)
Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)

Track & Confirm

Enter label number:

[Go >](#)[Track & Confirm FAQs](#)[Go >](#)

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER MTL-066
		U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/EP02/011420	INTERNATIONAL FILING DATE 11 October 2002	PRIORITY DATE CLAIMED October 11, 2002
TITLE OF INVENTION Identification of a User of a Mobile Terminal and Generation of an Action Authorisation		
APPLICANT(S) FOR DO/EO/US Pierre CHAPPUIS		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.4. <input checked="" type="checkbox"/> The US has been elected (Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> Is attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> Is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> Is attached hereto.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 35 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input checked="" type="checkbox"/> A preliminary amendment.14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A power of attorney and/or change of address letter.17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).20. <input checked="" type="checkbox"/> Other items or information: unexecuted declaration		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007, OMB 0851-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP02/011420		ATTORNEY'S DOCKET NUMBER MTL-006	
21. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee..... \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee..... \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
75 - 100 =	0 /50 =	0	x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	20 - 20 =	0	x \$50.00	\$	
Independent claims	3 - 3 =	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1130.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 565.00	
SUBTOTAL =				\$565.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$565.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$565.00	
Amount to be refunded:					\$
Amount to be charged					\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1980</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
The H.T. Than Law Group Waterfront Center 1010 Wisconsin Avenue, N.W. Suite 560 Washington, DC 20007 Express Mail No. EO 907 275 415 US					
				SIGNATURE Araah Behravesh	
				NAME 45,982	
				REGISTRATION NUMBER	

FORM PTO-1390 (REV. 12-2004)

Page 2 of 2